## Lecture 24 - More Naive Bayes



DSC 40A, Fall 2022 @ UC San Diego
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## Announcements

- Look at the readings linked on the course website!
- We will have the Thanksgiving break, so there is no class on Friday this week.
- The final is coming, so there will be a review session.


## Agenda

Naive Bayes.

Text classification.

- Practical demo.

Naive Bayes

## Naive Bayes classifier

- We want to predict a class, given certain features.
- Using Bayes' theorem, we write

$$
P(\text { class } \mid \text { features })=\frac{P(\text { class }) \cdot P(\text { features } \mid \text { class })}{P(\text { features })}
$$

- For each class, we compute the numerator using the naive assumption of conditional independence of features given the class.
- We estimate each term in the numerator based on the training data.
- We predict the class with the largest numerator.
- Works if we have multiple classes, too!


## (1) na.ive

/ni'ēv/
adjective
(of a person or action) showing a lack of experience, wisdom, or judgment.
"the rather naive young man had been totally misled"

- (of a person) natural and unaffected; innocent.
"Andy had a sweet, naive look when he smiled"
Similar: innocent (unsophisticated) artless) (ingenuous) (inexperienced) $\checkmark$
- of or denoting art produced in a straightforward style that deliberately rejects sophisticated artistic techniques and has a bold directness resembling a child's work, typically in bright colors with little or no perspective.


## Example: comic characters

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

My favorite character is a male Marvel character. Using Naive Bayes, would we predict that my favorite character is bad, good, or neutral?

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$P($ bad $\mid$ male, Marvel $) \propto P($ bad $) \cdot P($ male, Marvel $\mid$ bad $)$
$P($ male, Marvel $\mid$ bad $)=P($ male $\mid$ bad $) \cdot P($ Marvel $\mid$ bad $)$

$$
\begin{gathered}
P(\text { bad })=\frac{5}{10} \\
P(\text { male } \mid \text { bad })=\frac{4}{5} \\
P(\text { Marvel } \mid \text { bad })=\frac{2}{5} \\
P(\text { bad } \mid \text { male, Marvel }) \propto \frac{5 \cdot 4 \cdot 2}{10 \cdot 5 \cdot 5}=\frac{4}{25}
\end{gathered}
$$

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$P($ good $\mid$ male, Marvel $) \propto P($ good $) \cdot P($ male, Marvel $\mid$ good $)$ $P($ male, Marvel $\mid$ good $)=P($ male $\mid$ good $) \cdot P($ Marvel $\mid$ good $)$

$$
\begin{gathered}
P(\text { good })=\frac{4}{10} \\
P(\text { malelgood })=\frac{2}{4} \\
P(\text { Marvel } \mid \text { good })=\frac{3}{4}
\end{gathered}
$$

$P($ good $\mid$ male, Marvel $) \propto \frac{4 \cdot 2 \cdot 3}{10 \cdot 4 \cdot 4}=\frac{3}{20}$

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$P$ (neutral|male, Marvel) $\propto P($ neutral $) \cdot P($ male, Marvel $\mid$ neutral $)$
$P($ male, Marvel $\mid$ neutral $)=P($ male $\mid$ neutral $) \cdot P($ Marvel $\mid$ neutral $)$

$$
\begin{gathered}
P(\text { neutral })=\frac{1}{10} \\
P(\text { male } \mid \text { neutral })=\frac{1}{1}=1 \\
P(\text { Marvel } \mid \text { neutral })=\frac{1}{1}=1 \\
P(\text { neutral } \mid \text { male, Marvel }) \propto \frac{1}{10}
\end{gathered}
$$

## Example: comic characters

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

My other favorite character is a male Marvel character. Using Naive Bayes, would we predict that my favorite character is bad, good, or neutral? Bad! because $4 / 25>3 / 20>1 / 10$.

## Example: comic characters

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

My other favorite character is a female Marvel character. What is the probability that this character is neutral?

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$P($ neutral $\mid$ female, Marvel $) \propto P($ neutral $) \cdot P($ female, Marvel $\mid$ neutral $)$
$P($ female, Marvel|neutral $)=P($ female $\mid$ neutral $) \cdot P($ (Marvel $\mid$ neutral $)$

$$
P(\text { neutral })=\frac{1}{10}
$$

$P($ female $\mid$ neutral $)=\frac{0}{1}=0$
$P($ Marvel $\mid$ neutral $)=\frac{1}{1}=1$
$P($ neutrallfemale, Marvel $) \propto 0$

## Uh oh...

- There are no neutral female characters in the data set.
- The estimate $P($ female $\mid$ neutral $) ~ \approx \frac{\sharp \text { female neutral characters }}{\# \text { neutral characters }}$ is 0.
- The estimated numerator, $P($ neutral $) \cdot P($ female, Marvel $\mid$ neutral $)=$ $P($ neutral $) \cdot P($ female $\mid$ neutral $) \cdot P($ Marvel $\mid$ neutral $)$, is also 0 .
- But just because there isn't a neutral female character in the data set, doesn't mean they don't exist!
- Idea: Adjust the numerators and denominators of our estimate so that they're never 0 .


## Smoothing

> Without smoothing:

$$
\begin{aligned}
& P(\text { female } \text { neutral }) \approx \frac{\# \text { female neutral }}{\# \text { female neutral }+ \text { \# male neutral }} \\
& P(\text { male } \text { neutral }) \approx \frac{\# \text { male neutral }}{\# \text { female neutral }+\# \text { male neutral }}
\end{aligned}
$$

- With smoothing:

$$
\begin{aligned}
& P(\text { female } \mid \text { neutral }) \approx \frac{\# \text { female neutral }+1}{\# \text { female neutral }+1+\# \text { male neutral }+1} \\
& P(\text { male } \text { neutral }) \approx \frac{\# \text { male neutral }+1}{\# \text { female neutral }+1+\# \text { male neutral }+1}
\end{aligned}
$$

- When smoothing, we add 1 to the count of every group whenever we're estimating a probability.

| ALIGN | SEX | COMPANY |
| :--- | :--- | :--- |
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$P($ neutral|female, Marvel) $\propto P($ neutral $) \cdot P($ female, Marvel $\mid$ neutral $)$ $P($ female, Marvel|neutral $)=P($ female $\mid$ neutral $) \cdot P($ Marvel $\mid$ neutral $)$

$$
\begin{gathered}
P(\text { neutral })=\frac{1}{10} \\
P(\text { female } \mid \text { neutral })=\frac{1}{3} \\
P(\text { Marvel } \mid \text { neutral })=\frac{2}{3}
\end{gathered}
$$

$P($ neutrallfemale, Marvel $) \propto \frac{1}{45}$

## Summary: Naive Bayes classifier

- In classification, our goal is to predict a discrete category, called a class, given some features.
- We want to predict a class, given certain features.
- For each class, we compute the numerator using the naive assumption of conditional independence of features given the class.
- We estimate each term in the numerator based on the training data.
$\Rightarrow$ We predict the class with the largest numerator.
- Works if we have multiple classes, too!


## Summary: Naive Bayes classifier

- The Naive Bayes classifier works by estimating the numerator of $P$ (class|features) for all possible classes.
- It uses Bayes' theorem:

$$
P(\text { class } \mid \text { features })=\frac{P(\text { class }) \cdot P(\text { features } \mid \text { class })}{P(\text { features })}
$$

- It also uses a simplifying assumption, that features are conditionally independent given a class:
$P($ features $\mid$ class $)=P\left(\right.$ feature ${ }_{1} \mid$ class $) \cdot P\left(\right.$ feature $_{2} \mid$ class $) \cdot \ldots$

Text classification

## Text classification

- Text classification problems include:
- Sentiment analysis (e.g. positive and negative customer reviews).
- Determining genre (news articles, blog posts, etc.).
- Spam filtering.
- Our goal: given the body of an email, determine whether it's spam or ham (not spam).

Shutterfly 11/3/21
Thank us later-snag an EXTRA 20\% OFF your holiday card an... Plus, claim your 4 freebies (today only)! > | View web version $\uparrow$ ! Order cards and gifts now to avoid delays UP TO 50\% OFF...

## Alumni Alliances

Univ. of Cal. Berkeley Alumni Club Invites Suraj from Halıcıoğl... Have you claimed your members-only access? Hi Suraj, You're Invited to Join Alumni Alliances, an invitation-only alumni club....

IRS.gov 11/1/21
Re: You are Eligible For a Tax Return on Nov 1, 06:01:52 pm c Third Round of Economic Impact Payments Status Available.

Question: How do we come up with features?

## Features

Idea:

- Choose a dictionary of $d$ words, e.g. "prince", "money", "free"...
- Represent each email with a feature vector $\vec{x}$ :

$$
\vec{x}=\left[\begin{array}{c}
x^{(1)} \\
x^{(2)} \\
\ldots \\
x^{(d)}
\end{array}\right]
$$

where
$>x^{(i)}=1$ if word $i$ is present in the email, and
$\Rightarrow x^{(i)}=0$ otherwise.
This is called the bag-of-words model.

## Concrete example

- Dictionary: "prince", "money", "free", and "xxx".
- Dataset of 5 emails (red are spam, green are ham):
- "I am the prince of UCSD and I demand money."
- "Tapioca Express: redeem your free Thai Iced Tea!"
- "DSC 40A: free points if you fill out CAPEs!"
- "Click here to make a tax-free donation to the IRS."
- "Free COVID-19 tests at Prince Center."


## Naive Bayes for spam classification

$$
P(\text { class } \mid \text { features })=\frac{P(\text { class }) \cdot P(\text { features } \mid \text { class })}{P(\text { features })}
$$

- To classify an email, we'll use Bayes' theorem to calculate the probability of it belonging to each class:
- $P$ (spam | features).
$>P$ (ham | features).
- We'll predict the class with a larger probability.


## Naive Bayes for spam classification

$$
P(\text { class } \mid \text { features })=\frac{P(\text { class }) \cdot P(\text { features } \mid \text { class })}{P(\text { features })}
$$

- Note that the formulas for $P$ (spam | features) and $P$ (ham | features) have the same denominator, $P$ (features).
- Thus, we can find the larger probability just by comparing numerators:
$\Rightarrow P$ (spam) $\cdot P$ (features $\|$ spam).
> $P$ (ham) $\cdot P$ (features $\mid$ ham $)$.


## Naive Bayes for spam classification

## Discussion Question

We need to determine four quantities:

1. $P$ (features | spam).
2. $P$ (features | ham).
3. $P$ (spam).
4. $P($ ham $)$.

Which of these probabilities should add to 1 ?
A) 1,2
B) 3,4
C) Both A and B
D) Neither A nor B

## Naive Bayes for spam classification

## Discussion Question

We need to determine four quantities:

1. $P$ (features | spam).
2. $P$ (features | ham).
3. $P$ (spam).
4. $P($ ham $)$.

Which of these probabilities should add to 1 ?
A) 1,2
B) 3,4
C) Both A and B
D) Neither A nor B

Answer: B) $P($ spam $)+P($ ham $)=1$.

## Estimating probabilities with training data

- To estimate $P$ (spam), we compute

$$
P(\text { spam }) \approx \frac{\# \text { spam emails in training set }}{\# \text { emails in training set }}
$$

- To estimate $P$ (ham), we compute

$$
P(\text { spam }) \approx \frac{\# \text { ham emails in training set }}{\# \text { emails in training set }}
$$

- What about $P$ (features $\mid$ spam $)$ and $P$ (features | ham)?


## Assumption of conditional independence

- Note that $P$ (features \| spam) looks like

$$
P\left(x^{(1)}=0, x^{(2)}=1, \ldots, x^{(d)}=0 \mid \text { spam }\right)
$$

- Recall: the key assumption that the Naive Bayes classifier makes is that the features are conditionally independent given the class.
- This means we can estimate $P$ (features $\mid$ spam $)$ as

$$
\begin{aligned}
P\left(x^{(1)}\right. & \left.=0, x^{(2)}=1, \ldots, x^{(d)}=0 \mid \text { spam }\right) \\
=P\left(x^{(1)}\right. & =0 \mid \text { spam }) \cdot P\left(x^{(2)}=1 \mid \text { spam }\right) \cdot \ldots \cdot P\left(x^{(d)}=0 \mid \text { spam }\right)
\end{aligned}
$$

## Concrete example

Dictionary: "prince", "money", "free", and "xxx". Dataset of 5 emails (red are spam, green are ham): "I am the prince of UCSD and I demand money." "Tapioca Express: redeem your free Thai Iced Tea!" "DSC 40A: free points if you fill out CAPEs!" "Click here to make a tax-free donation to the IRS." "Free COVID-19 tests at Prince Center."

|  | prince | money | free | xxx | Label |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

## Concrete example

|  | prince | money | free | xxx | Label |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |
| $x^{(1)}=$ prince, $x^{(2)}=$ money, $x^{(3)}=$ free, $x^{(4)}=x x x$ |  |  |  |  |  |

Prior:

$$
\begin{aligned}
& P(\text { spam })=\frac{2}{5} \\
& P(\text { ham })=\frac{3}{5}
\end{aligned}
$$

## Concrete example

|  | prince | money | free | xxx | Label |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

Conditional probability on spam:

$$
P\left(x^{(1)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(1)}=1 \mid \text { spam }\right)=\frac{1}{2},
$$

## Concrete example

|  | prince | money | free | xxx | Label |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

Conditional probability on spam:

$$
\begin{aligned}
& P\left(x^{(1)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(1)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
& P\left(x^{(2)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(2)}=1 \mid \text { spam }\right)=\frac{1}{2},
\end{aligned}
$$

## Concrete example

|  | prince | money | free | xxx | Label |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

Conditional probability on spam:

$$
\begin{aligned}
& P\left(x^{(1)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(1)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
& P\left(x^{(2)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(2)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
& P\left(x^{(3)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(3)}=1 \mid \text { spam }\right)=\frac{1}{2},
\end{aligned}
$$

## Concrete example

|  | prince | money | free | xxx | Label |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

Conditional probability on spam:

$$
\begin{aligned}
& P\left(x^{(1)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(1)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
& P\left(x^{(2)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(2)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
& P\left(x^{(3)}=0 \mid \text { spam }\right)=\frac{1}{2}, \quad P\left(x^{(3)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
& P\left(x^{(4)}=0 \mid \text { spam }\right)=1, \quad P\left(x^{(4)}=1 \mid \text { spam }\right)=0 .
\end{aligned}
$$

## Concrete example

|  | prince | money | free | xxx | Label |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |
| $x^{(1)}=$ prince, $x^{(2)}=$ money, $x^{(3)}=$ free, $x^{(4)}=x x x$ |  |  |  |  |  |

Conditional probability on ham:

$$
\begin{array}{ll}
P\left(x^{(1)}=0 \mid \text { ham }\right)=\frac{2}{3}, & P\left(x^{(1)}=1 \mid \text { ham }\right)=\frac{1}{3} \\
P\left(x^{(2)}=0 \mid \text { ham }\right)=1, & P\left(x^{(2)}=1 \mid \text { ham }\right)=0 \\
P\left(x^{(3)}=0 \mid \text { ham }\right)=0, & P\left(x^{(3)}=1 \mid \text { ham }\right)=1, \\
P\left(x^{(4)}=0 \mid \text { ham }\right)=1, & P\left(x^{(4)}=1 \mid \text { ham }\right)=0 .
\end{array}
$$

## Concrete example

- New email to classify: "Download a free copy of the Prince of Persia."‘


## Concrete example

- New email to classify: "Download a free copy of the Prince of Persia."'

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | 0 |

## Concrete example

- New email to classify: "Download a free copy of the Prince of Persia."‘

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | 0 |

To compute the probability of the text being spam, we have: $P$ (features|spam)
$=P\left(x^{(1)}=1 \mid\right.$ spam $) P\left(x^{(2)}=0 \mid\right.$ spam $) P\left(x^{(3)}=1 \mid\right.$ spam $) P\left(x^{(4)}=0 \mid\right.$ spam $)$
$=\frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot 1=\frac{1}{8}$

## Concrete example

- New email to classify: "Download a free copy of the Prince of Persia."'

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | 0 |

To compute the probability of the text being spam, we have: $P$ (features|spam)
$=P\left(x^{(1)}=1 \mid\right.$ spam $) P\left(x^{(2)}=0 \mid\right.$ spam $) P\left(x^{(3)}=1 \mid\right.$ spam $) P\left(x^{(4)}=0 \mid\right.$ spam $)$
$=\frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot 1=\frac{1}{8}$
Thus:
$P($ spam $\mid$ features $) \propto P($ features $\mid$ spam $) \cdot P($ spam $)=\frac{1}{8} \cdot \frac{2}{5}=\frac{1}{20}$

## Concrete example

- New email to classify: "Download a free copy of the Prince of Persia."‘

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | 0 |

To compute the probability of the text being ham, we have: $P$ (features|ham)
$=P\left(x^{(1)}=1 \mid\right.$ ham $) P\left(x^{(2)}=0 \mid\right.$ ham $) P\left(x^{(3)}=1 \mid\right.$ ham $) P\left(x^{(4)}=0 \mid\right.$ ham $)$
$=\frac{1}{3} \cdot 1 \cdot 1 \cdot 1=\frac{1}{3}$
Thus:
$P($ ham $\mid$ features $) \propto P($ features $\mid$ ham $) \cdot P($ ham $)=\frac{1}{3} \cdot \frac{3}{5}=\frac{1}{5}$

## Concrete example

- New email to classify: "Download a free copy of the Prince of Persia."'

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | 0 |

Because

$$
P(\text { ham } \mid \text { features })=\frac{1}{5}>P(\text { spam } \mid \text { features })=\frac{1}{20},
$$

this sentence is classified as ham.

## Uh oh...

What happens if we try to classify the email "xxx what's your price, prince"?

## Uh oh...

- What happens if we try to classify the email "xxx what's your price, prince"?

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 0 | 1 |

There is a keyword " $x x x$ " and the sentence is likely spam. But:

$$
P\left(x^{(4)}=1 \mid \text { spam }\right)=0
$$

Thus:

$$
P(\text { features } \mid \text { spam })=0
$$

Then, it will be classified as ham with absolute certainty.

## Smoothing

- Without smoothing:

$$
P\left(x^{(i)}=1 \mid \text { spam }\right) \approx \frac{\text { \# spam containing word } i}{\# \text { spam containing word } i+\# \text { spam not containing word } i}
$$

- With smoothing:

$$
P\left(x^{(i)}=1 \mid \text { spam }\right) \approx \frac{(\# \text { spam containing word } i)+1}{(\# \text { spam containing word } i)+1+(\# \text { spam not containing word } i)+1}
$$

- When smoothing, we add 1 to the count of every group whenever we're estimating a conditional probability.
- Don't smooth the estimates of unconditional probabilities (e.g. $P($ spam)).


## Concrete example with smoothing

|  | prince | money | free | xxx | Label |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |
| $x^{(1)}=$ prince, $x^{(2)}=$ money, $x^{(3)}=$ free, $x^{(4)}=\mathrm{xxx}$ |  |  |  |  |  |

Conditional probability on spam:

$$
\begin{array}{ll}
P\left(x^{(1)}=0 \mid \text { spam }\right)=\frac{1}{2}, & P\left(x^{(1)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
P\left(x^{(2)}=0 \mid \text { spam }\right)=\frac{1}{2}, & P\left(x^{(2)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
P\left(x^{(3)}=0 \mid \text { spam }\right)=\frac{1}{2}, & P\left(x^{(3)}=1 \mid \text { spam }\right)=\frac{1}{2}, \\
P\left(x^{(4)}=0 \mid \text { spam }\right)=\frac{3}{4}, & P\left(x^{(4)}=1 \mid \text { spam }\right)=\frac{1}{4} .
\end{array}
$$

## Concrete example with smoothing

|  | prince | money | free | xxx | Label |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |
| $x^{(1)}=$ prince, $x^{(2)}=$ money, $x^{(3)}=$ free, $x^{(4)}=\mathrm{xxx}$ |  |  |  |  |  |

Conditional probability on ham:

$$
\begin{array}{ll}
P\left(x^{(1)}=0 \mid \text { ham }\right)=\frac{3}{5}, & P\left(x^{(1)}=1 \mid \text { ham }\right)=\frac{2}{5}, \\
P\left(x^{(2)}=0 \mid \text { ham }\right)=\frac{4}{5}, & P\left(x^{(2)}=1 \mid \text { ham }\right)=\frac{1}{5}, \\
P\left(x^{(3)}=0 \mid \text { ham }\right)=\frac{1}{5}, & P\left(x^{(3)}=1 \mid \text { ham }\right)=\frac{4}{5}, \\
P\left(x^{(4)}=0 \mid \text { ham }\right)=\frac{1}{5}, & P\left(x^{(4)}=1 \mid \text { ham }\right)=\frac{4}{5} .
\end{array}
$$

## Concrete example with smoothing

- What happens if we try to classify the email "xxx what's your price, prince"?

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 0 | 1 |

## Spam:

## $P$ (features|spam)

$=P\left(x^{(1)}=1 \mid\right.$ spam $) P\left(x^{(2)}=0 \mid\right.$ spam $) P\left(x^{(3)}=0 \mid\right.$ spam $) P\left(x^{(4)}=1 \mid\right.$ spam $)$

$$
=\frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{4}=\frac{1}{32}
$$

Thus:
$P($ spam $\mid$ features $) \propto P($ features $\mid$ spam $) \cdot P($ spam $)=\frac{1}{32} \cdot \frac{2}{5}=\frac{1}{80}=0.0125$

## Concrete example with smoothing

- What happens if we try to classify the email "xxx what's your price, prince"?

| prince | money | free | xxx |
| :---: | :---: | :---: | :---: |
| 1 | 0 | 0 | 1 |

Ham:

$$
P(\text { features|ham) }
$$

$=P\left(x^{(1)}=1 \mid\right.$ ham $) P\left(x^{(2)}=0 \mid\right.$ ham $) P\left(x^{(3)}=0 \mid\right.$ ham $) P\left(x^{(4)}=1 \mid\right.$ ham $)$

$$
=\frac{2}{5} \cdot \frac{4}{5} \cdot \frac{1}{5} \cdot \frac{1}{5}=\frac{8}{5^{4}}
$$

Thus:
$P($ ham $\mid$ features $) \propto P($ features $\mid$ ham $) \cdot P($ ham $)=\frac{8}{5^{4}} \cdot \frac{3}{5}=0.00768$

## Concrete example with smoothing

- What happens if we try to classify the email "xxx what's your price, prince"?
We have:

$$
\begin{aligned}
& P(\text { spam } \mid \text { features }) \propto 0.0125 \\
& P(\text { ham } \mid \text { features }) \propto 0.00768
\end{aligned}
$$

Probability of spam: 61.94\%
Probability of ham: 38.06\%
It is classified as spam.

## Practical demo

## More realistic example

My source code in Java (it is easier to do in Python):
https://github.com/HyTruongSon/Spambase-filtering

## Data:

https://archive.ics.uci.edu/ml/datasets/Spambase
Classifiers: Linear/RBF Support Vector Machine, Logistic Regression and Multilayer Perceptron.

