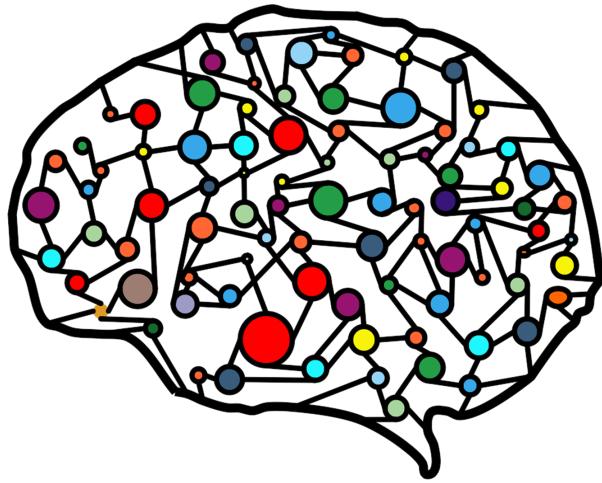


# Lecture 25 – Precision and Recall



DSC 40A, Winter 2024

# Announcements

- ▶ **Midterm 2 is Wednesday 3/13** during lecture.
- ▶ I'm travelling from next Tuesday to Saturday, Prof. Gal Mishne will proctor the midterm on 3/13.  
*This*
- ▶ ~~Next week~~ we have two review sessions, one is Monday discussion (for Midterm 2), one is Friday lecture (for Final)
  - ▶ Zhenduo (TA) and tutors will lead both sessions.
  - ▶ I've asked TA/tutor to move their OH to Monday/Tuesday.

# Announcements

- ▶ Final is on March 22, Final part I/Part II is replacable with midterm 1/midterm 2, respectively.
  - ▶ See more announcements about final on Course Website/Campuswire.
  - ▶ Final will be more multiple choice/fill in the blank style
- ▶ Please fill out Student Evaluation of Teaching:
  - ▶ [https://academicaffairs.ucsd.edu/Modules/  
Evals?e11210304](https://academicaffairs.ucsd.edu/Modules/Evals?e11210304)
  - ▶ If at least 80% of the enrolled students fill out this survey, everyone in this class will get 0.5% extra credit on their final grade.

# About Midterm 2

- ▶ You'll be allowed an unlimited number of handwritten note sheets for Midterm 2. Start studying and preparing your notes now!
  - ▶ Has to be handwritten, no printed notes.
- ▶ Midterm 2 covers lecture 13-24. Clustering is included, but the vast majority will be **probability and combinatorics**.
- ▶ No calculators.
  - ▶ There will be some numerical calculations, but no very hard ones.  
*has been*
- ▶ Assigned seats ~~will be~~ posted on Campuswire.
- ▶ We will not answer questions during the exam. State your assumptions if anything is unclear.

# Midterm 2 Preparation Strategy

- ▶ One useful strategy is attributing complicated real-world problems into known models.
  - ▶ Example: rolling a die
- ▶ Unlike Part I of this course which is mostly proof, in Part II we have done lots of examples in lecture, make sure you understand them. If not, please ask questions in OH/Campuswire.
  - ▶ You will see something similar in the exam.
- ▶ Everything I covered in the lecture 13-24 is possible to appear in the midterm.

Emphasize on Probability & Combinatorics

# Agenda

- ▶ Recap: Text classification with Naive Bayes
- ▶ Measuring quality of classification

# **Text classification**

# Recap: Naive Bayes for spam classification

- ▶ To classify an email, we'll use Bayes' theorem to calculate the probability of it belonging to each class:

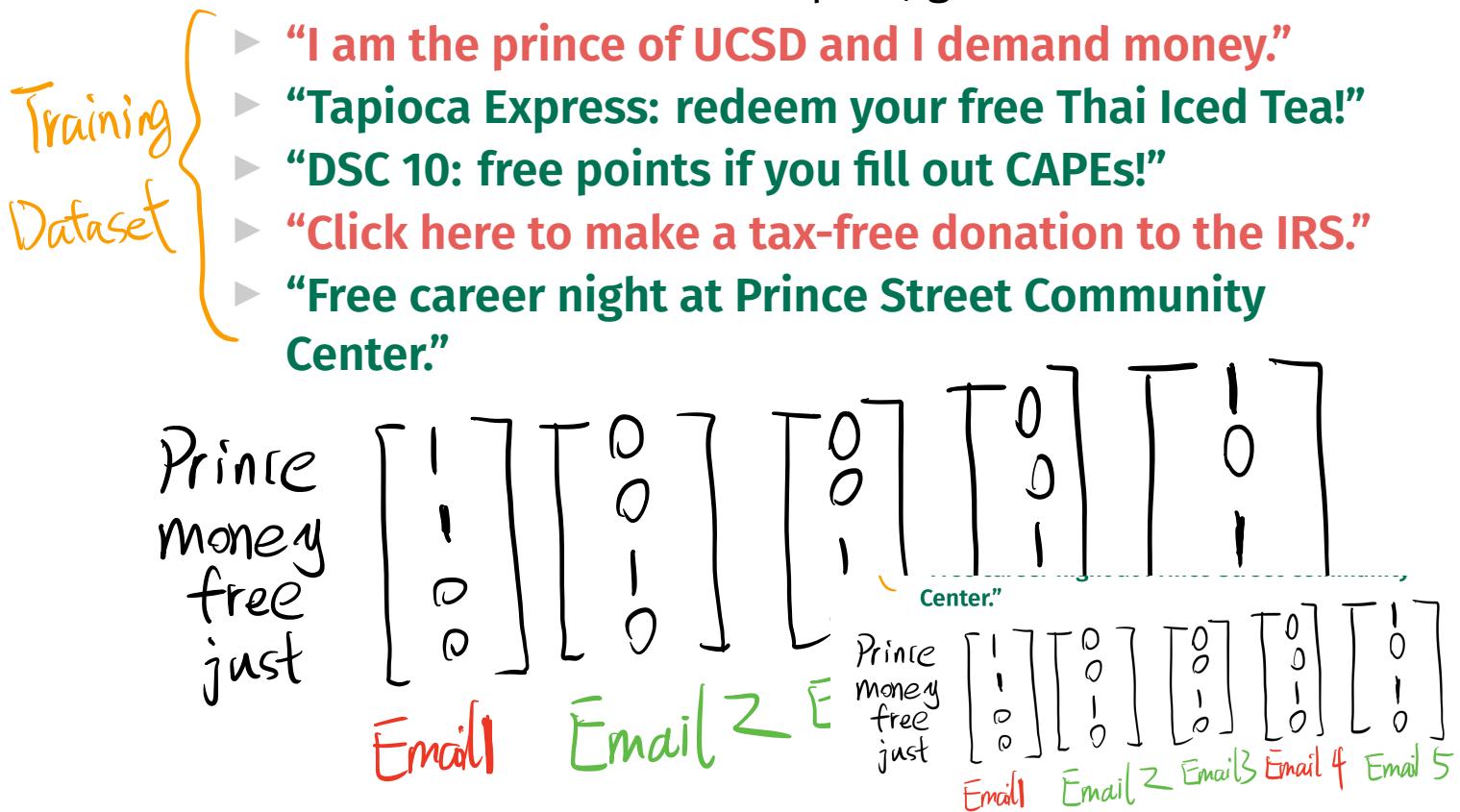
$$P(\text{spam} \mid \text{features}) = \frac{P(\text{spam}) \cdot P(\text{features} \mid \text{spam})}{P(\text{features})}$$

$$P(\text{ham} \mid \text{features}) = \frac{P(\text{ham}) \cdot P(\text{features} \mid \text{ham})}{P(\text{features})}$$

- ▶ We'll find the larger probability by comparing numerators, and predict that class.
  - ▶ To compute the numerator, we make the naive assumption that the features are conditionally independent given the class.
- conditional  
Independence*

# Concrete example

- ▶ Dictionary: “prince”, “money”, “free”, and “just”.
- ▶ Dataset of 5 emails (red are spam, green are ham):
  - ▶ “I am the prince of UCSD and I demand money.”
  - ▶ “Tapioca Express: redeem your free Thai Iced Tea!”
  - ▶ “DSC 10: free points if you fill out CAPEs!”
  - ▶ “Click here to make a tax-free donation to the IRS.”
  - ▶ “Free career night at Prince Street Community Center.”



# Concrete example

Center."  
Prince  
money  
free  
just  
 $\begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}$   $\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$   $\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix}$   
Email 1 Email 2 Email 3 Email 4 Email 5

- ▶ What happens if we try to classify the email “just what's your price, prince”?

$P(\text{Spam} | \text{features})$

$$= P(\text{Spam}) \cdot P(x^{(1)}=1 | \text{Spam}) \cdot P(x^{(2)}=0 | \text{Spam}) \cdot P(x^{(3)}=0 | \text{Spam}) \cdot P(x^{(4)}=1 | \text{Spam})$$
$$= \frac{2}{5} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{0}{2} = 0$$

$P(\text{Ham} | \text{features})$

$$= P(\text{ham}) \cdot P(x^{(1)}=1 | \text{ham}) \cdot P(x^{(2)}=0 | \text{ham}) \cdot P(x^{(3)}=0 | \text{ham}) \cdot P(x^{(4)}=1 | \text{ham})$$
$$= \frac{3}{5} \cdot \frac{1}{3} \cdot \frac{3}{3} \cdot \frac{0}{3} \cdot \frac{0}{3} = 0$$

# Smoothing

+1 to top  
+2 to bottom

- ▶ **Without** smoothing:

$$P(x^{(i)} = 1 \mid \text{spam}) \approx \frac{\# \text{ spam containing word } i}{\# \text{ spam containing word } i + \# \text{ spam not containing word } i}$$

- ▶ **With** smoothing:

$$P(x^{(i)} = 1 \mid \text{spam}) \approx \frac{(\# \text{ spam containing word } i) + 1}{(\# \text{ spam containing word } i) + 1 + (\# \text{ spam not containing word } i) + 1}$$

- ▶ When smoothing, we add 1 to the count of every group whenever we're estimating a conditional probability.

# Concrete example with smoothing

- ▶ What happens if we try to classify the email “just what's your price, prince”?

$P(\text{Spam} | \text{features})$

$$= P(\text{Spam}) \cdot P(x^{(1)}=1 | \text{spam}) \cdot P(x^{(2)}=0 | \text{spam}) \cdot P(x^{(3)}=0 | \text{spam}) \cdot P(x^{(4)}=1 | \text{spam})$$
$$= \frac{2}{5} \cdot \frac{2}{4} \cdot \frac{2}{4} \cdot \frac{2}{4} \cdot \frac{0+1}{2+2} = \cancel{\frac{1}{5}}$$

$P(\text{Ham} | \text{features})$

$$= P(\text{ham}) \cdot P(x^{(1)}=1 | \text{ham}) \cdot P(x^{(2)}=0 | \text{ham}) \cdot P(x^{(3)}=0 | \text{ham}) \cdot P(x^{(4)}=1 | \text{ham})$$
$$= \frac{3}{5} \cdot \frac{1+1}{3+2} \cdot \frac{3+1}{3+2} \cdot \frac{0+1}{3+2} \cdot \frac{0+1}{3+2} = \cancel{0} \frac{1}{125}$$

Center."

Prince	$\begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}$
money	$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$
free	$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$
just	$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$
Email 1	$\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$
Email 2	$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$
Email 3	$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$
Email 4	$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$
Email 5	$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$

$$P(X^{(4)}=1 | \text{Spam}) = \frac{0+1}{0+1+2+1} = \frac{1}{4}$$

# of spam containing "just"

# of spam not containing "just"

# Modifications and extensions

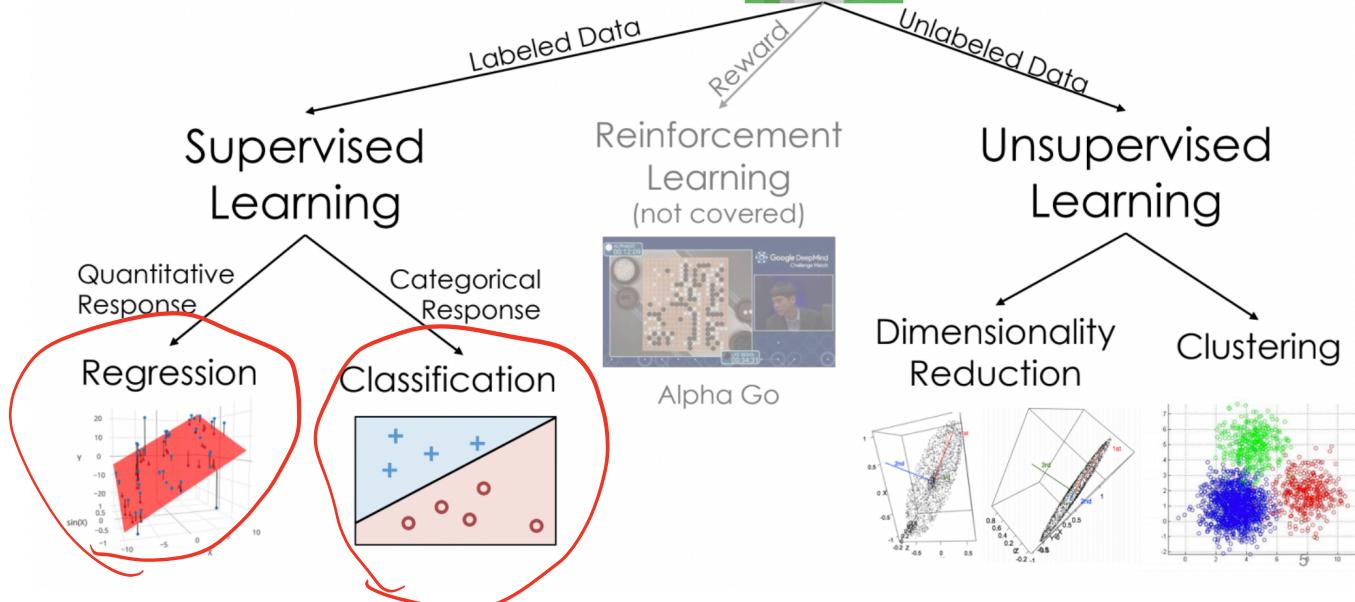
- ▶ **Idea:** Use pairs (or longer sequences) of words rather than individual words as features.
  - ▶ This better captures the dependencies between words.
  - ▶ It also leads to a much larger space of features, increasing the complexity of the algorithm.

# Modifications and extensions

- ▶ **Idea:** Use pairs (or longer sequences) of words rather than individual words as features.
  - ▶ This better captures the dependencies between words.
  - ▶ It also leads to a much larger space of features, increasing the complexity of the algorithm.
- ▶ **Idea:** Instead of recording whether each word appears, record how many times each word appears.
  - ▶ This better captures the importance of repeated words.

# Measuring quality of classification

# Taxonomy of Machine Learning



<sup>1</sup>taken from Joseph Gonzalez at UC Berkeley

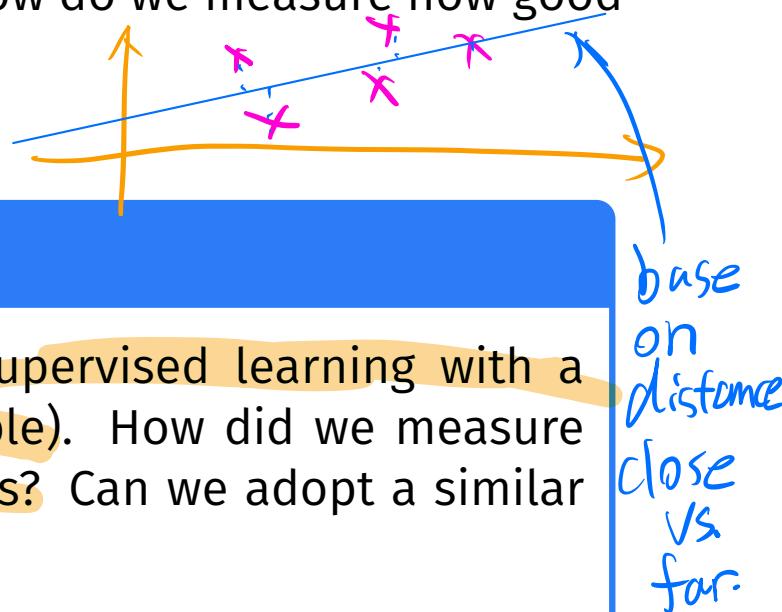
# Classification problems

- ▶ In the classification problem, we make predictions based on data (called **training data**) for which we know the value of the **categorical** response variable.
- ▶ Example classification problems:
  - ▶ Deciding whether a patient has kidney disease.
  - ▶ Identifying handwritten digits.
  - ▶ Determining whether an avocado is ripe.
  - ▶ Predicting whether credit card activity is fraudulent.

# Assessing the quality of a classifier

- ▶ Naive Bayes is one classification algorithm, or **classifier**, but there are many others.
- ▶ Is Naive Bayes any good? How do we measure how good of a job a classifier does?

MSE



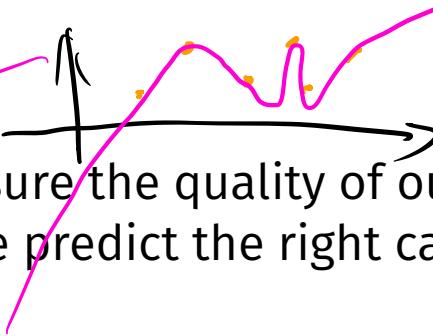
## Discussion Question

Think back to regression (supervised learning with a quantitative response variable). How did we measure the quality of our predictions? Can we adopt a similar strategy?

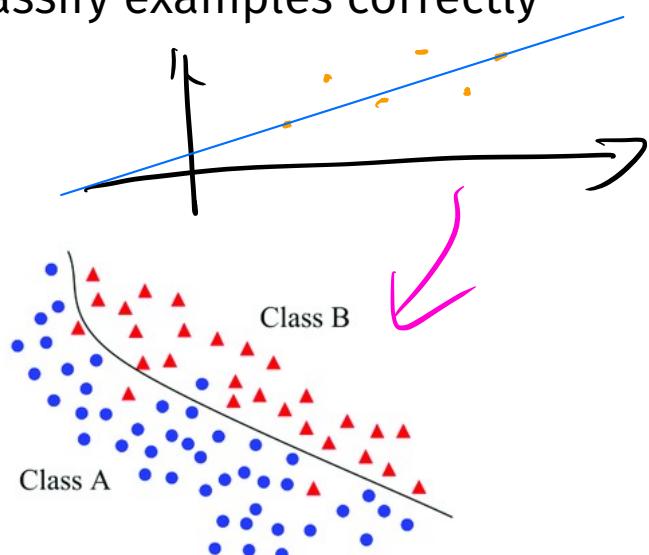
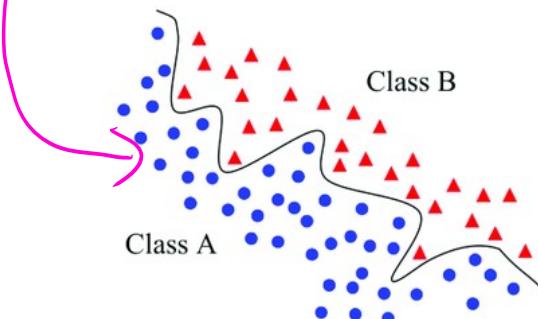
base  
on  
distance  
close  
vs  
far

classification: right VS. Wrong

# Unseen data



- ▶ A natural way to measure the quality of our classifications is to see how often we predict the right category.
- ▶ We want to make good predictions on **unseen data**. So we'll measure how often we classify examples correctly for a new set of **test data**.
- ▶ This avoids **overfitting**.



# Accuracy

- ▶ Classification **accuracy** is the proportion of examples in the test set that are correctly classified.
- ▶ Accuracy is measured on a 0 to 1 scale.

# Accuracy

- ▶ We can think of accuracy as an estimate for the probability of making a correct classification on an unseen example.
- ▶ Parameter:  
 $P(\text{successful classification})$
- ▶ Estimate:  
$$\text{accuracy} = \frac{\# \text{ correctly classified examples in test set}}{\text{size of test set}}$$

# Imbalanced classes

Alagille syndrome is a rare genetic condition that affects 1 in 40,000 people. We want to classify people as having this condition (**unhealthy**) or not having this condition (**healthy**).

## Discussion Question

Consider a classifier that classifies everyone as **healthy**.

1. What is the accuracy of this classifier?

$$\frac{39,999}{40,000} > 99\%$$

2. What are the ethical repercussions of using this classifier?

# High accuracy is not enough

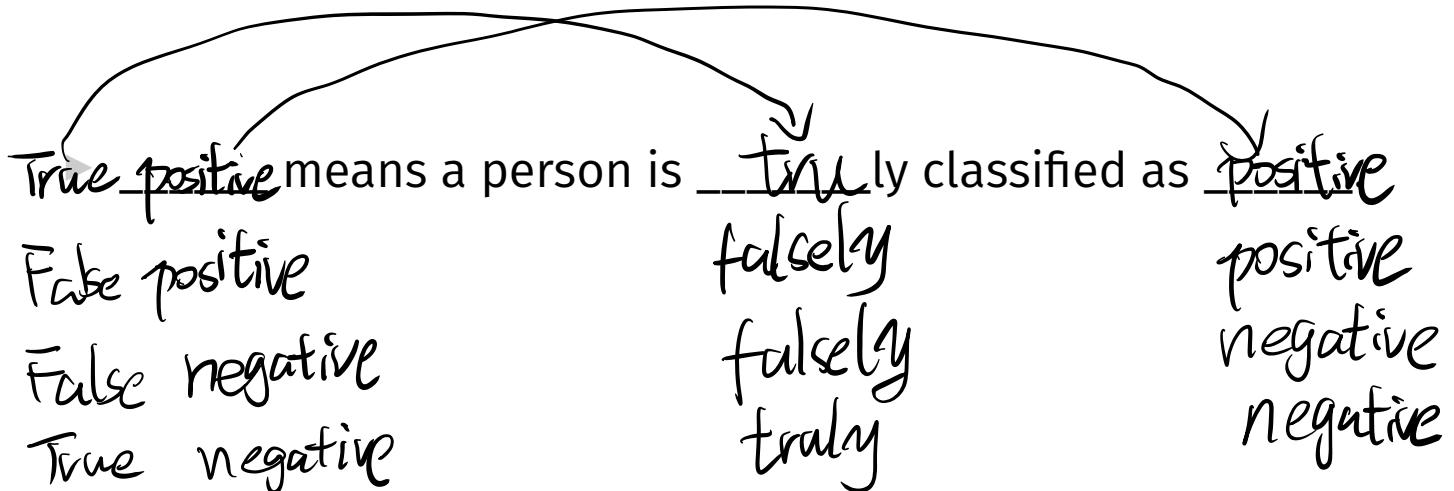
- ▶ We want to avoid overdiagnosis (telling someone they have the condition when they don't).
- ▶ We also want to avoid underdiagnosis (telling someone they're healthy when they're not).
- ▶ It's easy to avoid either one of these. It's hard to avoid both of these simultaneously, yet a good classifier should do exactly that.

# Different types of errors

good: want to maximize

	Actually <b>unhealthy</b>	Actually <b>healthy</b>
Classified as <b>unhealthy</b>	True positive	False positive
Classified as <b>healthy</b>	False negative	True negative

bad: want to minimize.



# Avoid overdiagnosis

	Actually <b>unhealthy</b>	Actually <b>healthy</b>
Classified as <b>unhealthy</b>	True positive	False positive
Classified as <b>healthy</b>	False negative	True negative

- ▶ How often does our prediction of the condition mean a person actually has the condition?

want  
to  
maximize

- ▶ Parameter:

$$P(\text{actually } \text{unhealthy} | \text{classified as } \text{unhealthy})$$

- ▶ Estimate:

$\bar{A}$

$\bar{B}$

$\bar{A} \cap \bar{B}$  or "A and B"

$$\text{precision} = \frac{\# \text{ people in test set correctly classified as } \text{unhealthy}}{\# \text{ people in test set classified as } \text{unhealthy}}$$

$\bar{B}$

# Avoid underdiagnosis

	Actually <b>unhealthy</b>	Actually <b>healthy</b>
Classified as <b>unhealthy</b>	True positive	False positive
Classified as <b>healthy</b>	False negative	True negative

- ▶ How often do we identify those that actually have the condition?

- ▶ Parameter:

$$P(\text{classified as } \text{unhealthy} | \text{actually } \text{unhealthy})$$

- ▶ Estimate:

$$\text{recall} = \frac{\# \text{ people in test set correctly classified as } \text{unhealthy}}{\# \text{ unhealthy people in test set}}$$

B

A and B

want  
to  
✓ maximize

# Precision vs. recall

	Actually <b>unhealthy</b>	Actually <b>healthy</b>
Classified as <b>unhealthy</b>	True positive	False positive
Classified as <b>healthy</b>	False negative	True negative

- ▶ Precision:

$$\text{precision} = \frac{\text{# people in test set correctly classified as unhealthy}}{\text{# people in test set classified as unhealthy}}$$
$$= \frac{\text{true positives}}{\text{true positives + false positives}}$$

- ▶ Recall:

$$\text{recall} = \frac{\text{# people in test set correctly classified as unhealthy}}{\text{# unhealthy people in test set}}$$
$$= \frac{\text{true positives}}{\text{true positives + false negatives}}$$

goal: maximize both precision & recall

# Precision vs. recall

	Actually <b>unhealthy</b>	Actually <b>healthy</b>
Classified as <b>unhealthy</b>	True positive <i>O</i>	False positive <i>O</i>
Classified as <b>healthy</b>	False negative <i>small</i>	True negative <i>large</i>

## Discussion Question

Consider a classifier that classifies everyone as **healthy**.

1. What is the precision of this classifier?

*undefined, but good*

2. What is the recall of this classifier?

*recall = 0 bad.*

# Precision vs. recall

	Actually <b>unhealthy</b>	Actually <b>healthy</b>
Classified as <b>unhealthy</b>	True positive <i>few</i>	False positive <i>many</i>
Classified as <b>healthy</b>	False negative <i>0</i>	True negative <i>0</i>

## Discussion Question

Now consider a classifier that classifies everyone as **unhealthy**.

1. What is the precision of this classifier?

~~$\frac{\text{few}}{\text{few} + \text{many}}$~~  close to 0 (bad)

2. What is the recall of this classifier?

$\frac{\text{few}}{\text{few} + 0} = 1$  good.

# Combining precision and recall

- ▶ We want high precision and high recall, but it's hard to have both.
- ▶ Let's combine them into a single measurement.
- ▶ Does the average of precision and recall work well?

$$\frac{P + R}{2}$$

- ▶ Compare:
  - ▶ Classifier A ( $P = 0, R = 1$ )  $\rightarrow 0.5$
  - ▶ Classifier B ( $P = 0.5, R = 0.6$ )  $\rightarrow 0.55$

# Combining precision and recall

- ▶ **Key insight:** Two moderate values are better than two extremes. Use the product, which shrinks when either term in the product is small.
- ▶ New way of combining precision and recall: **F-score**

$$\frac{2PR}{P + R}$$

- ▶ Compare:
  - ▶ Classifier A ( $P = 0, R = 1$ )  $\rightarrow \frac{2PR}{P+R} = 0$
  - ▶ Classifier B ( $P = 0.5, R = 0.6$ )  $\rightarrow \frac{2PR}{P+R} = \frac{6}{11}$

# F-score

- ▶ The **F-score** combines the precision and recall of a classifier in a single measurement.

$$P = 1 \quad R = 1 \quad \frac{2PR}{P + R} = \frac{2 \cdot 1 \cdot 1}{1 + 1} = 1$$

- ▶ Higher F-score  $\Rightarrow$  better classifier.

## Discussion Question

What would be the F-score of a “perfect classifier”?

# **Summary**

# Summary

- ▶ Accuracy is a simple way of measuring the quality of a classifier, but it can be misleading when classes are imbalanced.
- ▶ Precision and recall are two other ways of measuring the quality of a classifier, but they can be hard to achieve simultaneously.
- ▶ The F-score combines precision and recall into a single measurement that assesses the quality of a classifier on a 0 to 1 scale.

$$\frac{2PR}{P + R}$$